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*Attorneys for Nomura Holdings, Inc.*

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

In re:	Chapter 11 Case No.
LEHMAN BROTHERS HOLDINGS INC., <i>et al.</i>	08-13555 (SCC)
Debtors.	(Jointly Administered)

**NOTICE OF TRANSFER OF CLAIM UNDER FEDERAL RULE OF BANKRUPTCY  
PROCEDURE 3001(e)**

The claims listed below have been filed in this case. Nomura Holdings, Inc. (“Transferee”) hereby provides evidence and notice pursuant to Rule 3001(e)(2) of the Federal Rules of Bankruptcy Procedure that, pursuant to certain pledge agreements (collectively, the “Pledge Agreements”) entered into with each of the below referenced transferors (collectively, the “Transferors”), Transferee is entitled to receive and take title to all distributions paid or payable in respect of the below-referenced claims and hereby files this notice pursuant to Rule 3001(e) in furtherance of the foregoing.

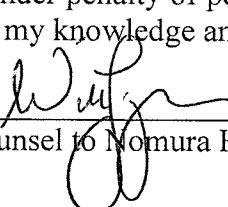
TRANSFEROR:  CAROL, CLAYTON c/o Tatsuya Tanigawa Nishimura & Asahi Ark Mori Building, 28 <sup>th</sup> Fl. 1-12-32 Akasaka Minato-ku Tokyo, 107-6029 JAPAN	Claim No. 23990	TRANSFeree:  NOMURA HOLDINGS, INC. c/o Alston & Bird LLP William S. Sugden 1201 West Peachtree Street, NW Atlanta, GA 30309
TRANSFEROR:  DOE, JOCELYN J. c/o Tatsuya Tanigawa Nishimura & Asahi Ark Mori Building, 28 <sup>th</sup> Fl.	Claim No. 23992	TRANSFeree:  NOMURA HOLDINGS, INC. c/o Alston & Bird LLP William S. Sugden 1201 West Peachtree Street, NW

1-12-32 Akasaka Minato-ku Tokyo, 107-6029 JAPAN		Atlanta, GA 30309
TRANSFEROR:  MORRIS, JASON P. c/o Tatsuya Tanigawa Nishimura & Asahi Ark Mori Building, 28 <sup>th</sup> Fl. 1-12-32 Akasaka Minato-ku Tokyo, 107-6029 JAPAN	Claim No. 23969	TRANSFeree:  NOMURA HOLDINGS, INC. c/o Alston & Bird LLP William S. Sugden 1201 West Peachtree Street, NW Atlanta, GA 30309
TRANSFEROR:  RUBIN, CHARLES c/o Tatsuya Tanigawa Nishimura & Asahi Ark Mori Building, 28 <sup>th</sup> Fl. 1-12-32 Akasaka Minato-ku Tokyo, 107-6029 JAPAN	Claim No. 23972	TRANSFeree:  NOMURA HOLDINGS, INC. c/o Alston & Bird LLP William S. Sugden 1201 West Peachtree Street, NW Atlanta, GA 30309
TRANSFEROR:  SOWINSKI, JOHN A. c/o Tatsuya Tanigawa Nishimura & Asahi Ark Mori Building, 28 <sup>th</sup> Fl. 1-12-32 Akasaka Minato-ku Tokyo, 107-6029 JAPAN	Claim No. 23974	TRANSFeree:  NOMURA HOLDINGS, INC. c/o Alston & Bird LLP William S. Sugden 1201 West Peachtree Street, NW Atlanta, GA 30309
TRANSFEROR:  STEIN, JEFFREY A. c/o Tatsuya Tanigawa Nishimura & Asahi Ark Mori Building, 28 <sup>th</sup> Fl. 1-12-32 Akasaka Minato-ku Tokyo, 107-6029 JAPAN	Claim No. 23975	TRANSFeree:  NOMURA HOLDINGS, INC. c/o Alston & Bird LLP William S. Sugden 1201 West Peachtree Street, NW Atlanta, GA 30309

TRANSFEROR:	Claim No. 23949	TRANSFeree:
TUNG, SHARON W. c/o Tatsuya Tanigawa Nishimura & Asahi Ark Mori Building, 28 <sup>th</sup> Fl. 1-12-32 Akasaka Minato-ku Tokyo, 107-6029 JAPAN		NOMURA HOLDINGS, INC. c/o Alston & Bird LLP William S. Sugden 1201 West Peachtree Street, NW Atlanta, GA 30309

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By:

  
\_\_\_\_\_  
Counsel to Nomura Holdings, Inc.

Date: June 19, 2015